

# Software Reliability and Safety

## CSE 8317 — Spring 2017

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### **SSE.2: Hazard Analysis**

- Hazard Analyses and Techniques
- Fault Tree Analysis (FTA)
- Event Tree Analysis (ETA)
- Other HA Techniques

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## Safety Techniques

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- Hazard and risk identification:
  - ▷ Accident scenarios: actual/hypothetical
    - starting points for safety
  - ▷ Focus: operations and operational env.
  
- Hazard analysis and assessment:
  - ▷ Fault trees: (static) logical conditions
  - ▷ Event trees: dynamic sequences
  - ▷ Other analyses/assessment techniques
  
- Hazard and risk resolution
  - ▷ Hazard elimination
  - ▷ Hazard reduction
  - ▷ Hazard control
  - ▷ Damage control

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## Hazard Analyses: Types

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- Sub-system hazard analyses (SSHA)
  - ▷ Hazard within individual sub-system
  - ▷ Component/sub-system in isolation
  
- System hazard analyses (SHA)
  - ▷ Focus: interface and interaction
  - ▷ Subsys/env/human effect on system
  - ▷ Throughout development process
  - ▷ Focus on early phases to provide info. for other activities (hazard resolution and safety verification)
  
- SHA/SSHA in software process
  - ▷ Throughout development process
  - ▷ Focus on early phases to provide info. for other activities (hazard resolution and safety verification)

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## Hazard Analyses: Techniques

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- Primary techniques for SHA/SSHA:
  - ▷ Fault-tree analyses (FTA)
  - ▷ Event-tree analyses (ETA)
  - ▷ SQE Ch.16.4 and Safeware Ch.14.
  
- Other techniques:
  - ▷ Design reviews & checklists
  - ▷ Hazard indices
  - ▷ Risk trees
  - ▷ Cause-consequence analysis (CCA)
  - ▷ Hazard & operability analysis (HAZOP)
  - ▷ Failure modes and effect analysis (FMEA)
  - ▷ FMECA (FMEA + Criticality), etc.
  - ▷ Above: “Safeware” Ch.14.
  - ▷ Specific to software: “Safeware” Ch.15.
  
- FTA and ETA slides from SQE Ch.16.4.

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## Hazard Analysis: SFTA

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- SFTA: Software FTA
  - ▷ Same concept applied to software
  - ▷ Actual implementation (white-box)
  - ▷ Language elements (high-level):
    - assignment and function calls
    - branching statement, loops, etc.
  - ▷ Also for specification/architecture
    - black-box control flow diagram
    - equivalent language representation
  
- SFTA construction:
  - ▷ Templates/examples for diff. statements
  - ▷ Safeware 18.2.2 (pp.497-507)
  
- ⇒ Additional work needed,  
especially for system design/architecture  
new work of STPA by Leveson

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## Hazard Analysis: ETA & CCA

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- ETA alone: trace of accident.  
May desire explanation also (from FTA)
  
- Cause-consequence diagram (CCA):
  - ▷ Combine ETA with FTA
  - ▷ Explaining decisions in ET
  
- Using ETA and CCA:
  - ▷ Partial vs. total ETA
  - ▷ Focus on main consequences
  - ▷ Details:  
“Safeware” 14.5-14.6 (pp.327-pp.335)